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CUSTOMER	CLAIN	

1	Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL
į	U.S. Bankruptcy Court for the Southern District of New York Claim Number: 008648
-	
	Date Received

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

RECEIVED

APR 28 2009

(Please print or type)			
Name of Customer:	Diana Melton	Trust DTD	12/5/05	
Mailing Address: _ City: Redacted	Redacted	State:	acted	Redacted—
Account No.: 17A6	99			ZAP

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of December 11, 2008:

Taxpayer I.D. Number (Social Security No.): Redacted 7622

a. The Broker owes me a Credit (Cr.) Balance of

If you wish to repay the Debit Balance,

\$<u>0</u> c 0:=

b. I owe the Broker a Debit (Dr.) Balance of

please insert the amount you wish to repay and

attach a check payable to "Irving H. Picard, Esq.,

Trustee for Bernard L. Madoff Investment Securities LLC."

If you wish to make a payment, it must be enclosed

with this claim form.

D. Nama

d. If balance is zero, insert "None."

None

502180406

C.

2. Claim for securities as of December 11, 2008:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

			YES	NO
	a.	The Broker owes me securities	X	
	b.	I owe the Broker securities		X
	C.	If yes to either, please list below:		
				er of Shares or nount of Bonds
	Date of Transaction (trade date)	Name of Security	The Brok Owes Me (Long)	
	See Nov. 30	, 2008 ZA699 statements, attached h	nereto as Exhib	it A
	\$1,136,325.	21 (market value of securities long	g, per ZA699-3	statement)
	39,600.	00 (market value of securities long	g, per ZA699-4	statement)
	(55,920.	00) (market value of securities sho	ort, per ZA699-	4 statement)
Tota1	\$1,120,005.	21		
	<u></u>			

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		YES	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		X
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone ass preparation of this claim form: <u>Joshua Keller</u> , Es One Pennsylvania Plaza, New York, New York	q., Milberg Ll	<u>.</u>

	Pg 4 of 16	
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.	

Please list the full name and address of anyone assisting you in the

08-01789-cgm Doc 18671-1 Filed 04/18/19 Entered 04/18/19 17:17:46 Exhibit 1

preparation of this claim form:______.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date	-1/29,	109		Signature	Malo-	/Olyston
Date .	/ /		 	Signature	/	

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, *e.g.*, corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

08-01789-cgm Doc 18671-1 Filed 04/18/19 Entered 04/18/19 17:17:46 Exhibit 3

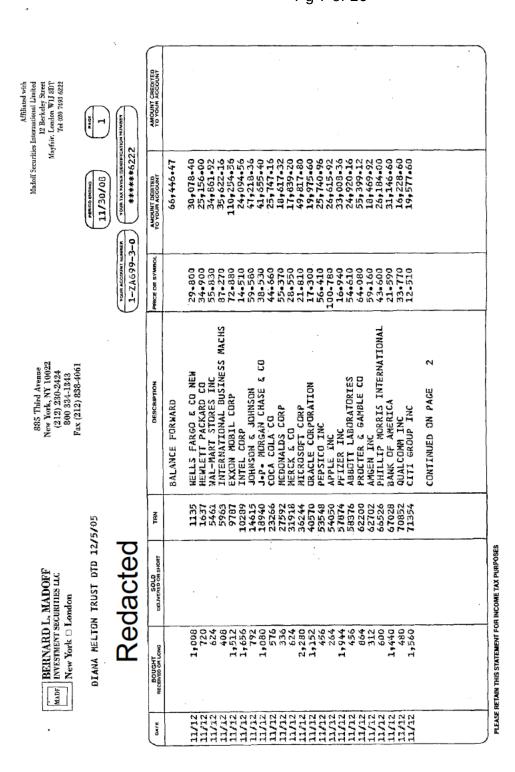
information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.		
Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		



			_										,,	
Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W11 8DT Tel (20) 7493 (222	2 SAGE	ATON HUMBEN	AMOUNT CREDITED TO YOUR ACCOUNT				•	1,049,328,00	58.34	49,362.00	,	98•		
Madoff Securitis	11/30/08	VOLIST TAX PAYER IDENTIFICATION NUMBER	AMOUNT DEBITED TO YOUR ACCOUNT	17,826.80	45,076.00 22,701.32 14,998.52	29,380,96	15,321.08 59,953.24 24,846.56	24,294.80			00*886*9	,		
		тоия дессинт нимвен 1-ZA699-3-0	PRICE OR SYMBOL	49.480	27 52-510 52-040	16•730 29•530	53-160 19-630 30-410	337.400 99.936	. VIG	н	н.	VIG		
885 Third Avenue New York, NY 10022 (212) 230-2424 809 334-1343 Fax (212) 838-4061			DESCRIPTION	SCHLUMBERGER LTD COMCAST CORP	ATET INC CONOCOPHILIPS UNITED PARCEL SVC INC	CISCO SYSTEMS INC CISCO SYSTEMS INC CLECYDON CODE	UNITED TECHNOLOGIES CORP GENERAL ELECTRIC CO VERIZON COMMUNICATIONS	GOOGLE U S TREASURY BILL DUE 2/12/2009	2/12/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET	DIV 11/12/08 FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	FIDELITY SPARTAN U S TREASURY MONEY MARKET	FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/19/08	CONTINUED ON PAGE 3	
	75/05		TRN	75178 75680	79504 80006 83830	88156	92984	97310		18845	28213			
II. MADOFF securities i.i.c London	DIANA MELTON TRUST DTD 12/5/05	edacted	SOLD DELIVIPED OR SHORT					1,050,000		49,362				
BERNARD L. MADOFF INVESTMENT SECURITIES LIC New York 🗆 London	DIANA MELTC	Re	BOUGHT PREEIVED ON LONG	360	1,704 432 288	1,752	288 3,048 816	21	٠		88649			
			E NA	21/11	21/11	11/12	11/12	21/11	11/12	11/12	11/12	11/19		

BERNARD L. MADOFF INVESTMENT SECURITIES LLC New York

London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

DIANA MELTON TRUST DTD 12/5/05

11/30/08

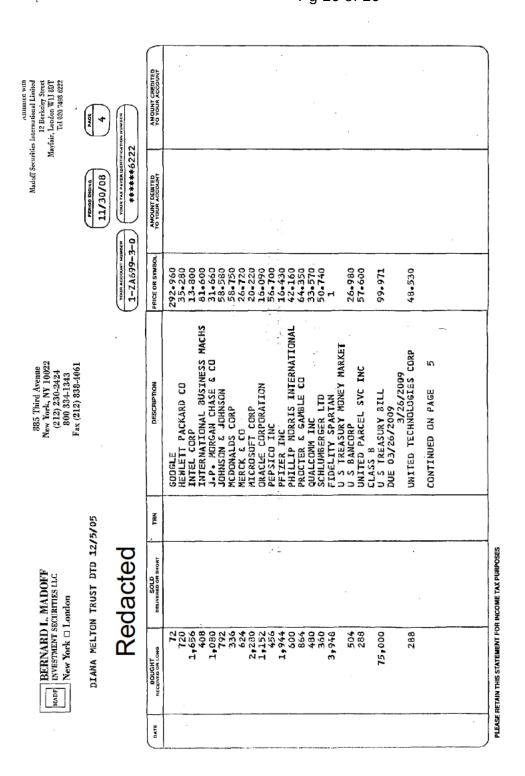
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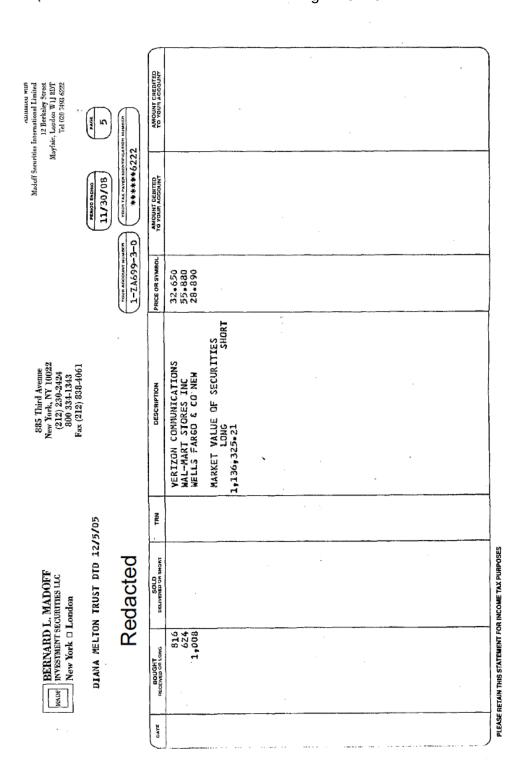
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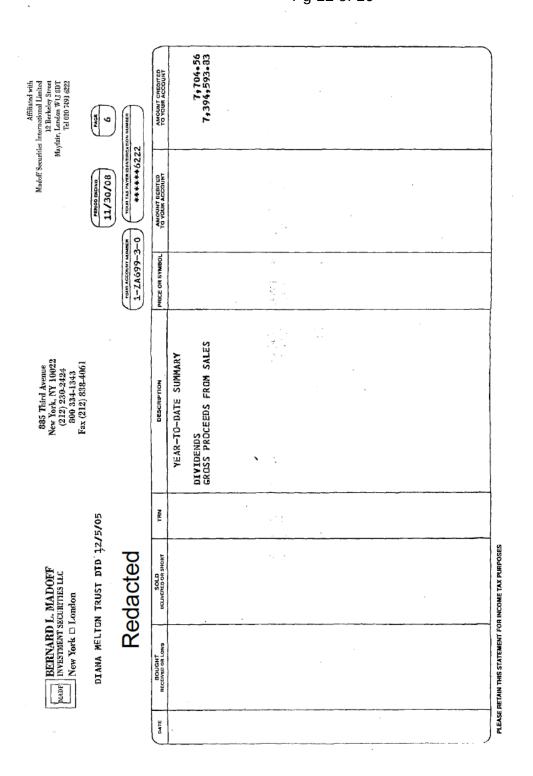
1-ZA699-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER *****6222

DATE	BOUGHT RECEIVED OR LONG	SOLD PELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		6,988	53735	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		6,988.00
11/19	75,000		58202	U S TREASURY BILL DUE 03/26/2009 3/26/2009	99-926	74+944+50	
11/19	3,948	į	62771	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	3,948.00	
				NEW BALANCE		133,502-49	
	1,704 456 312 264 1,440 6000 1,752 1,560 576 864 432 1,512 3,048			SECURITY POSITIONS ATST INC ABBOTT LABORATORIES AMGEN INC APPLE INC BANK OF AMERICA CHEYRON CORP CISCO SYSTEMS INC CITI GROUP INC COCA COLA CO COMCAST CORP CL A CONOCOPHILIPS EXXON MOBIL CORP GENERAL ELECTRIC CO CONTINUED ON PAGE 4	MKT PRICE 28-560 52-390 55-540 92-670 16-250 79-010 16-540 8-290 46-870 17-340 52-520 80-150 17-170		







Madoff Securities International Lainford Mayfair, London W11 8BF Tel 1020 7493 6222	11/30/08 (*)	1-2A699-4-0 *******6222	PRICE OR SYMBOL AMOUNT DEBITED AMOUNT CREDITED TO YOUN ACCOUNT	00*444.00	00*98%LE	17.800 42,744.00	26 62,376.00	30 12-024-00		3 7,224.00	37 88,776,00	133,503.00	78.1 FYLE 23.300 16.500	JRT -000-	DRT 000-
885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061			DESCRIPTION	BALANCE FURNARD	S & P 100 INDEX	NUVEMBER: 460 CALL S E P 100 INDEX	NOVEMBER 450 PUT S & P 100 INDEX	DECEMBER 430 CALL	DECEMBER 420 PUT	S & P 100 INDEX	S & P 100 INDEX NOVEMBER 450 PUT	NEW BALANCE	SECURITY PUSTIONS S E P 100 INDEX DECEMBER 430 CALL S.E P 100 INDEX DECEMBER 420 PUT	S GCU	SECURITY PUSITIONS S.E.P. 100 INDEX DECEMBER 430 CALL S.E.P. 100 INDEX DECEMBER 420 PUT MARKET VALUE OF SECURITI LONG 39,600.00 55,9
	5/05		TRN		44896	49222	35326	19705	37074	43976	48301				
) L. MADOFF SECURITES L.C. I London	ON TRUST DTD 12/5/05	Redacted	SOLD SCLWERED OR SHORT		. 24		. 24				24		24	* 2	* 2
BERNARD L. MADOFT INVESTMENT SECURITIES LLC New York London	DIANA MELTON	Re	BOUGHT RECEIVED OR LONG			24	•	76		. 54	,		24	24	2,5
			DATE	 -	11/12	11/12	11/19	11 530	67/17	11/19	11/19				

Exhibit B

EXHIBIT B:

- 1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
- 2. The information provided in the Claim Form is based on information provided in the Claimant's latest Madoff account statement and additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
- 3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law or equity.
- 4. The Claimant hereby requests that the Claim Form be considered as a proof of claim in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
- 5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by applicable law, the Claimant does not, by submitting the Claim Form, consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
- 6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
- 7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.
- 8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
- 9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.

- 10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
- 11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not to be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
- 12. The Claimant submits herewith documents in support of the Claimant's claim, including documents containing information regarding account transactions, such as contributions and/or withdrawals. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents, if deemed necessary. Below is a list of the documents submitted herewith:

2007:

- Madoff Account Statements for periods ending 9/30-12/31.
- Portions of Diana Melton Trust Agreement.

2008:

- Portfolio Management Report as of 9/30.
- Madoff Account Statements for periods ending 1/31-11/30.